

JUN 20 2006 4:51PM canady + lortz

909-494-4441

p.2

JUN 20 2006

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

59612 7590 03/29/2006

CANADY & LORTZ  
 2450 HUNTINGTON DRIVE, SUITE 205  
 SAN MARINO, CA 91108

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Karen S. Canady (Depositor's name)  
Karen S. Canady (Signature)  
June 20 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/392,682	09/09/1999	DEITER C. GRUENERT	480.18-4	1612

TITLE OF INVENTION: GENE THERAPY BY SMALL FRAGMENT HOMOLOGOUS REPLACEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	06/29/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
KATCHEVES, KONSTANTINA T	1636	435-455000	06/21/2006 TBESHAH2 00000002 09392682		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent from PTO/SB/4501

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Canady & Lortz  
 2. Karen S. Canady  
 3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of California Oakland, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 11

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

Registration No.

Karen S. Canady  
June 20, 2006  
39,927

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



**canady + lortz LLP**  
intellectual property counsel  
11340 W. Olympic Blvd, Suite 275  
Los Angeles, California 90064

**FAX TRANSMISSION TO OFFICE OF PATENT PUBLICATION**

TO: MAIL STOP **ISSUE FEE**  
Commissioner for Patents  
Office of Patent Publication  
Alexandria, VA 22313-1450

FROM: Karen S. Canady  
TEL.: (310) 966-9400

**OFFICE OF PATENT PUBLICATION FAX NUMBER: (571) 273-2885**

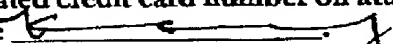
Re: Application No.: 09/392,682  
Notice of Allowance: March 29, 2006  
Confirmation No.: 1612  
Filed: September 9, 1999  
Applicant: Dieter C. Gruenert  
Group Art Unit: 1636  
Docket Number: 480.18-4

**BEST AVAILABLE COPY**

Total Pages (including cover) 5-pages

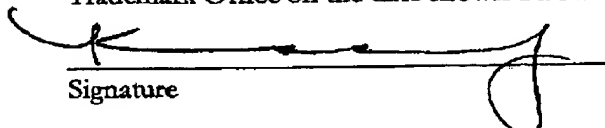
**Document(s) submitted herewith:**

- ☒ PTOL-85B - Part B - Issue Fee (in duplicate).
- ☒ Advance Order of Patent Copies (11 copies).
- ☒ Form PTO-2038 Credit Card Payment in the amount of \$700 to cover the Issue Fee.
- ☒ Form PTO-2038 Credit Card Payment in the amount of \$33 to cover the Advance Order of Patent Copies.

The Director is hereby authorized to charge the required fees or credit any overpayment to the indicated credit card number on attached Forms PTO-2038. Authorized Credit Card  
Signature 

If you do NOT receive all of the pages, please contact us at (310) 966-9400 or fax us at (909) 494-4441.

I hereby certify that this submission is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature 

Date 6-20-06